# Near-threshold photoionization of germanium clusters in the 248–144 nm region: ionization potentials for Ge<sub>n</sub>

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**Abstract.** We examine the photoionization thresholds of  $\text{Ge}_n$  (n = 2 - 34) with a wide photon energy (5.0-8.6 eV) using a laser photoionization time-of-flight mass spectrometry. A high-output vacuum ultraviolet light generated with stimulated Raman scattering is used as the ionization light source in the energy above 6.0 eV. A characteristic size dependence of ionization potential (IP) with a maximum at n = 10 is found for clusters smaller than 22 atoms. The rather high IP of  $\text{Ge}_{10}$  in comparison with its neighbors is consistent with the results on the photodissociation study of  $\text{Ge}_n^+$ . We also find that IPs decrease rapidly from n = 16 to 22, and then decrease at a much slower rate for larger clusters. These features in IPs are similar to those of Si<sub>n</sub> reported in our previous paper, except for the smaller IP gap of Ge<sub>n</sub> at  $n \approx 20$ . We discuss these results on IPs in relation to their electronic structure and stability.

**PACS.** 36.40.Mr Spectroscopy and geometrical structure of clusters – 71.24.+q Electronic structure of clusters and nanoparticles

### 1 Introduction

The structures and properties of small semiconductor clusters have been the subject of intensive study because of their importance in both fundamental and applied sciences. These studies include the reactivities of  $Si_n$  toward small molecules such as  $O_2$ ,  $NH_3$ ,  $C_2H_4$ , etc. [1–3]. Photo dissociation [4-6] and collision-induced dissociation [7]experiments have also been conducted, so that information on the stabilities and binding energies of  $Si_n$  and  $Ge_n$ may be gained. However, little is known about the structures of silicon clusters. Recently, Jarrold and others have measured the mobilities of  $Si_n^+$  and  $Ge_n^+$ , using injected-ion drift-tube techniques to obtain information on the structures of these clusters [8, 9]. The results of  $Si_n^+$  indicate the existence of isomers having different mobilities and the occurrence of a structural transition between these isomers. Although they have made substantial progress in obtaining the gross structures of cluster ions, it has not yet been possible to obtain detailed experimental information on the structures of  $Si_n$  and  $Ge_n$ , and thus, most of what we know about the structures comes from theoretical calculations [10–14].

In order to gain information on the growth of the electronic-level structure of semiconductor clusters, photoelectron spectroscopy [15–19] of negatively charged silicon and germanium clusters, and electronic absorption spectroscopy [20, 21] of size-selected clusters have been conducted. Other physical properties, such as ionization potentials (IPs) are also important for understanding the electronic structure, chemical reactivities, and dissociation processes. In our previous papers [22, 23], we have reported the photoionization thresholds of Si<sub>n</sub>, n = 2 - 200. The IPs have been found to exhibit a large gap in between n = 20 and 22. This gap has been tentatively ascribed to the structural transition of neutral silicon clusters in analogy with that of the cluster ions observed recently in the mobility measurements [8].

In the present work, we examine the photoionization thresholds of  $\text{Ge}_n$ , n = 2 - 34 in the energy region of 5.0-8.6 eV to obtain further information on the size dependence of IPs for semiconductor clusters. In the energy region above 6.42 eV (ArF laser), high-output vacuum ultraviolet (VUV) laser light, generated by anti-Stokes (AS) conversion, is used as the photoionization light source to bracket the IPs.

#### 2 Experimental methods

Clusters of germanium atoms are produced using a laser vaporization source [23]. A pulsed, frequency-doubled Nd:YAG laser (ca. 10 mJ/pulse) is focused onto the surface of a 0.6-cm-diameter germanium rod, which is translated and rotated within an aluminum source block. Germanium atoms evaporated from the rod surface are mixed with helium and flowed into a cylindrical flow tube (5 cm long by 0.35 cm i.d.), where cooling and cluster growth occur. To produce cold clusters, the tube is maintained

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at about 80 K by a liquid nitrogen-cooled copper block surrounding the tube. Germanium clusters thus produced are collimated with a skimmer and are introduced to the ion extraction region of a time-of-flight (TOF) mass spectrometer, where the clusters are photoionized. For the photoionization measurement, we use the reflectron TOF mass spectrometer described in our previous paper [23].

Clusters are photoionized with a VUV laser light in the 5.9–8.5 eV energy region. The VUV light is generated by anti-Stokes (AS) conversion of narrow-bandwidth UV radiation at 193, 248, and 266 nm. For example, in the photoionization experiments with 248 nm radiation, the frequency-doubled output (typically  $0.2 \,\mathrm{mJ/pulse}$ ) of an Nd:YAG pumped-dye laser (Quanta-Ray, GCR-250, and PDL-3; Coumarine 500) is amplified by a KrF excimer laser (Lambda Physik COMPex 100) after collimating by two plano-convex lenses. The energy from the entire system is typically 10 mJ/pulse at 248 nm. The amplified output is focused into an H<sub>2</sub> Raman cell. The radiation leaving the cell through an  $MgF_2$  window is dispersed by a rotatable  $30^{\circ}$  CaF<sub>2</sub> prism, and is introduced to the ionization region of the mass spectrometer through an evacuated tube, in which several slits are placed to feed a given order of the AS components. Various AS and Stokes components thus generated are used as the photoionization light source in the energy region above 5.9 eV. Although we did not measure the absolute energy of the VUV light, it is estimated to be less  $10 \,\mu J/pulse$  at 144 nm (H<sub>2</sub>, 7th AS component of 248 nm radiation) from the comparison of the signal intensities of  $Ge_{22-25}$  cluster ions produced by irradiation of attenuated 193 nm light. The ionization laser fluence was attenuated as low as possible to avoid multiphoton ionization and dissociation processes.

## 3 Results and discussion

Silicon and germanium clusters have known to exhibit efficient photofragmentation processes [4-6, 23], which disturb an accurate determination of the ionization thresholds of small clusters. In order to confirm the size, where the cluster is one-photon ionized at 6.42 eV (193 nm), we measured the photoionization mass spectrum with various laser fluences ranging from  $2-500 \,\mu \text{J/cm}^2$ . From the analysis of these spectra, germanium clusters with equal to and more than 19 atoms are found to be photoionized by the one-photon process at 6.42 eV. Figure 1 shows the typical photoionization mass spectra of  $Ge_n$  produced with various laser fluences. In addition to the clusters with  $n \geq 19$ , we also observe the fragment ions such as  $\text{Ge}_6^+$ ,  $\text{Ge}_7^+$ ,  $\text{Ge}_{11}^+$ ,  $\text{Ge}_{14}^+$ ,  $\text{Ge}_{15}^+$ , and  $\text{Ge}_{16}^+$ ; even the laser fluence is attenuated to  $20 \,\mu\text{J/cm}^2$ , as shown in Fig. 1a. When the clusters are photoionized without cooling of the cluster source, this trend becomes guite prominent. With increasing laser fluence, the ion signals of larger clusters (n > 20) decrease gradually, while those of  $\operatorname{Ge}_n^+$  (n = 6 - 1)11, 14, 15, 23, 31, 32) become increasingly prominent and exhibit magic behavior. These cluster ions are produced through a fission-type fragmentation after multiphoton ab-



Fig. 1. Photoionization mass spectra of germanium clusters,  $\text{Ge}_n$ , n = 1 - 100, recorded with various laser fluences at 6.42 eV (ArF): (a) 20  $\mu$ J/cm<sup>2</sup>; (b) 0.5 mJ/cm<sup>2</sup>; and (c) 2 mJ/cm<sup>2</sup>.

sorption [4–6], and some of them have also been observed as magic ions in quenched Ge vapor [24]. At the laser fluence of  $2 \text{ mJ/cm}^2$ , only the clusters with  $n \leq 11$  are detected, as shown in Fig. 1c. The extensive fragmentation of Ge<sup>+</sup><sub>n</sub> has also been observed at 157 nm by Smalley's group [25]. In our previous papers [22, 23], we have studied the photoionization process of Si<sub>n</sub> clusters at various wavelengths, including the VUV radiation. Although Si<sup>+</sup><sub>n</sub> also exhibit the extensive fragmentation process, no such clear magic behavior has been observed with the medium laser fluence. The reason why Ge<sup>+</sup><sub>n</sub> exhibit clear magic behavior may be due to their weaker binding energies as compared with those of Si<sup>+</sup><sub>n</sub> [9].

Figure 2 shows the TOF mass spectra of  $\text{Ge}_n$  (n = 2-30) clusters produced at 7.46, 7.58, and 7.76 eV, which correspond to the AS-2, AS-5, and AS-6 lines of the 193, 248, and 266 nm radiation, respectively. In the energy region above 7.58 eV, the ion signals of  $\text{Ge}_6^+$ ,  $\text{Ge}_7^+$ , and  $\text{Ge}_{10}^+$  become prominent. The aforementioned fact that  $\text{Ge}_6^+$ ,  $\text{Ge}_7^+$ , and  $\text{Ge}_{10}^+$  readily contaminate the mass spectrum hampers the determination of the IPs of these clusters. As shown in Figs. 2a and 2b, very weak signals of these clusters are observed, in addition to those of  $n \ge 8$ . However, the ion signals of these clusters increase rapidly from 7.58 to 7.76 eV, as seen in Fig. 2c. These results, as well as the careful examination of the intensity of these ions relative



Fig. 2. A series of germanium cluster photoionization mass spectra taken at the indicated energies; (a), (b), and (c) correspond to the AS-2, AS-5, and AS-6 lines of the 193, 248, and 266 nm radiation, respectively. The ion signal marked by an arrow cannot be assigned.

to that for Ge<sub>22-30</sub> in the mass spectra produced at several ionization energies, allow 7.58–7.76 eV to be placed on the IP of Ge<sub>6</sub>, Ge<sub>7</sub>, and Ge<sub>10</sub>. We also see the weak ion signals of Ge<sub>4</sub><sup>+</sup> and Ge<sub>5</sub><sup>+</sup> in Fig. 2c, but these signals are not detected in the mass spectrum produced with the F<sub>2</sub> laser at 157 nm (7.87 eV, about2  $\mu$ J/cm<sup>2</sup>). The latter result clearly indicates that Ge<sub>3-5</sub> have IPs higher than this energy. From the similar analysis of mass spectra produced at energy higher than 7.76 eV, we determine the IPs of Ge<sub>4</sub><sup>+</sup> and Ge<sub>5</sub><sup>+</sup> as 7.87 – 7.97 and 7.87–7.97 eV, and those of Ge<sub>2</sub> and Ge<sub>3</sub> as 7.58 – 7.76 and 7.97–8.09 eV, respectively.

It is worth noticing that the peak intensity of  $\text{Ge}_{10}^+$ is rather high, compared with its neighbors, as can be seen in the mass spectrum shown in Fig. 2c. Although it is not shown, this trend is found to become very evident in the mass spectrum produced at higher photon energy. Since these spectra are recorded at low laser fluence to suppress multiphoton processes (about  $4 \,\mu\text{J/cm}^2$ ) and with energy higher than the ionization thresholds of  $\text{Ge}_n$ (n = 6), the abundance of neutral clusters may be reflected in the intensities of each cluster ion. The spectrum thus indicates that  $\text{Ge}_{10}$  is more stable than its neighbors. As for  $\text{Ge}_{10}$ , the photodissociation [5] and collision-induced dissociation [9] experiments of  $\text{Ge}_n^+$  suggest that this cluster



Cluster Size, n

**Fig. 3.** Ionization potentials of  $\text{Ge}_n$ , n = 2 - 34, plotted versus n with open circles. Bulk ionization energy (IE) for Ge is indicated by a solid line. The solid line connecting the closed circles represents the prediction of the spherical droplet model with the reported bulk IE (4.80 eV), while \* indicates the predicted values, which is intentionally shifted by 1 eV.

is a favored neutral product. These results are consistent with the present observation for  $Ge_{10}$ . In the previous work on  $Si_n$  [23], we have found similar magic behavior at  $Si_{10}$ . As for the latter clusters, Raghavachari and Rohlfing [8] have reported detailed calculations on the binding energies of  $Si_n$  with n = 2 - 10, and have predicted the special stability of this cluster.

We also carry out similar photoionization experiments with the second harmonic of the excimer pumped-dye laser in the wavelength region of 206-224 nm. It is rather difficult to determine the threshold ionization energy accurately for larger clusters with n > 21, because of the lowering of the photoionization cross section and the clusters' relative abundances in the beam. Thus, in the present work, the IPs of the clusters with  $21 \le n \le 34$  are roughly bracketed.

The IPs for  $Ge_{2-34}$  determined in the present work are plotted against n in Fig. 3. As mentioned previously, the IPs for  $Ge_2$  and  $Ge_3$  are found to be 7.58 - 7.76 and 7.97-8.09 eV, respectively, and the IP of Ge<sub>3</sub> is close to that of the Ge atom (7.88 eV). Up to now, three theoretical groups have examined the ionization potentials of small germanium clusters. Dixon and Gole [26] have calculated the electronic structure of Ge<sub>3</sub> with a local density functional approximation and determined the adiabatic IP as 8.28 eV. They have also predicted a large geometrical change between the  ${}^{1}A_{1}$  neutral ground state and the  ${}^{2}B_{2}$  cation. Thus, the calculations seem to slightly overestimate the IP of Ge<sub>3</sub>. For Ge<sub>4</sub> and Ge<sub>5</sub>, Dai and Balasubramanian [27] have estimated the adiabatic IPs as 7.44 and 7.50 at the multireference singles + doubles configuration interaction level of theory, while the vertical IPs of these clusters determined here are 7.87–7.97 eV. According to their calculations, both  $\text{Ge}_4$  and  $\text{Ge}_4^+$  have the equilibrium structure of a rhombus, but their acute apex angles are quite different. Taking into account the geometrical change, the theoretical values may agree reasonably well with the present results. Quite recently, Jo and Lee [28] have also reported the IPs of  $\text{Ge}_n$  (n = 2 - 12) calculated with the parameterized Hamiltonian method. However, their IPs are more than 2 eV higher than those determined in this work.

As shown in Fig. 3, the IPs of  $\text{Ge}_n$  decrease gradually with a major peak at n = 10. The rather high IP of  $Ge_{10}$  is consistent with the results on the photodissociation study [7] of  $\operatorname{Ge}_n^+$ . Although the abundance of the product cluster ions is also affected by the dynamics of dissociation, the fragment cluster, having a lower IP, has a tendency to be charged in the fission-type dissociation of energized cluster ions; for example,  $Ge_9^+$  and  $Ge_{11}^+$  are produced predominantly in the dissociation process of  $Ge_{19}^+$  and  $Ge_{21}^+$ , respectively [5]. If we take into account this tendency, the photodissociation results [5] may suggest that  $Ge_{10}$  has the lowest IP among three clusters, e.g., Ge<sub>6</sub>, Ge<sub>7</sub> and Ge<sub>10</sub>, whose IPs are bracketed in 7.58-7.76 eV, as mentioned previously. In Fig. 3, the results, based on a classic conducting spherical droplet (CSD) model, are also depicted with a solid line; the cluster IP is assumed to converge to the bulk work function (4.80 eV [29]), and the cluster radius Ris estimated from the bulk density. This model often reproduces well the experimentally measured IPs for metal clusters such as  $Na_n$  and  $K_n$ . As seen in Fig. 3, the IPs of  $\operatorname{Ge}_n$  with n < 18 deviate significantly from this model, while  $\operatorname{Ge}_n$  with  $n \geq 22$  seem to follow the line. The preliminary results on  $Ge_{35-60}$  show that the IPs decrease gradually to about 5.6 eV. On the other hand, the IPs of  $Ge_{18-21}$  decrease at a much faster rate. This feature in the size dependence of IPs for  $Ge_n$  is rather similar to that found for  $Si_n$ : a large gap in IP (about 1.5 eV) between  $Si_{20}$ and  $Si_{22}$  has been observed [23]. In the case of  $Si_n$ , we have tentatively ascribed the IP gap to the occurrence of the structural transition similar to that found for  $Si_{24}^+ - Si_{34}^+$ , which has been detected in the mobility measurements by Jarrold and Constant [8]. Jarrold and his group have also examined the structures of  $\operatorname{Ge}_n^+$  using the same technique; however, they have found no structural transition for  $\operatorname{Ge}_n^+$ with n = 7 - 54, except for  $\operatorname{Ge}_{40}^+$  [9]. One of the possible reasons why no clear structural transition is detected for  $\operatorname{Ge}_n^+$ in this size range may be the much lower potential barrier for isomerization. At the moment, we have no definitive interpretation for the IP gap of  $\operatorname{Ge}_n$ . To get further insight into this issue, elaborate theoretical calculations for both the geometries and electronic structures of  $Si_n$  and  $Ge_n$ clusters with 20 to 30 atoms are necessary.

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